Exhibit 22

| 1 | IN THE UNITED STATES DISTRICT COURT |
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| | FOR THE DISTRICT OF NEW JERSEY |
| 2 | |
| 3 | ******* |
| 4 | IN RE: VALSARTAN, LOSARTAN, MDL No. 2875 |
| | AND IRBESARTAN PRODUCTS |
| 5 | LIABILITY LITIGATION HON ROBERT B. |
| | KUGLER |
| 6 | ****** |
| | THIS DOCUMENT APPLIES TO ALL |
| 7 | CASES |
| 8 | ********* |
| 9 | - CONFIDENTIAL INFORMATION - |
| | SUBJECT TO PROTECTIVE ORDER |
| 10 | |
| 11 | |
| 12 | Continued Remote Videotaped via |
| 13 | Zoom Deposition of PENG DONG, commencing at |
| 14 | 7:05 a.m. Hong Kong time, on the 1st of |
| 15 | April, 2021, before Maureen O'Connor Pollard, |
| 16 | Registered Diplomate Reporter, Realtime |
| 17 | Systems Administrator, Certified Shorthand |
| 18 | Reporter. |
| 19 | |
| 20 | |
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| | Page 351 |
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| ZHP00662283 through 2309 460 Today's date is April 1, 2021, and the time is 7:05 a.m. This remote video deposition is being held in the matter of Valsartan, Losartan, and Irbesartan Products Liability Litigation MDL. The deponent is Peng Dong. All parties to this deposition are appearing remotely and have agreed to the witness being sworn in remotely. All counsel will be noted on the stenographic record. YANG SHAO, Interpreter, having been previously duly sworn to translate the proceedings to the best of his | | 6 | * |
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| ability, translated as follows: | | I | ÷ |
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Page 357 1 PENG DONG, ¹ that section, in part it states, "Based on having previously remotely affirmed to tell actual residual results that DMF and MTBE the truth, was examined and testified further have never been detected in Valsartan, it is as follows through the interpreter: demonstrated that these solvents are **FURTHER EXAMINATION** completely removed from the manufacturing process and omission of the testing is BY MR. SLATER: 7 justified." Q. On the screen is a portion of 8 the Drug Master File amendment filed in MR. SLATER: You can translate 9 that. Would you read that -- well, December 2013. 10 10 let me start over again. I messed up. Do you see that? 11 11 I see on the screen a document Let me start over. 12 12 in English. I cannot understand what it O. This is part of the "Residual Solvents" section, and there's a heading in says. I'm sorry. 14 MR. SLATER: Cheryll, can you the middle of the page that starts "DMF and 15 15 go to the first page of the document, MTBE. 16 16 And it states in part in that please? 17 17 Thank you. paragraph, "Based on actual residual results 18 Q. I'll start over. that DMF and MTBE have never been detected in 19 Valsartan, it is demonstrated that these On the screen is part of the Drug Master File amendment that was filed in |20 solvents are completely removed from the December 2013 that we've been discussing. manufacturing process and omission of the It's dated November 10, 2013. testing is justified." 23 Section 3.2.S.3.2, titled "Impurities." My question is, would that 24 information have been based on the risk Do you see the document in Page 358 Page 360 front of you? assessment and the process validation that 2 A. I do see a document in English was performed in 2011? 3 on the screen. 3 A. I wonder if the plaintiffs' 4 MR. SLATER: What exhibit attorney could highlight the sentence you 5 just quoted in this paragraph, because in number is this, by the way, for the 6 this paragraph there's too much information, record? Let's just get that into the 7 and I cannot understand what it says here in record. 8 8 MS. CALDERON: 205. English. 9 9 MR. SLATER: Thank you. Besides, can the plaintiffs' 10 (Whereupon, Exhibit Number attorney ask more specific questions? 11 ZHP-205 was marked for Q. Where this says that it was 12 12 demonstrated that the solvents DMF and MTBE identification.) 13 13 were completely removed from the MR. BALL: I'm sorry, did you 14 say 205? manufacturing process, was that based on the 15 risk assessment that was performed in 2011? MR. SLATER: Yes. 16 16 MR. BALL: Objection. Outside MR. BALL: Thank you. 17 17 MR. SLATER: Let's go to the scope of the 30(b)(6) topics. 18 18 page 82. The Bates number, the last This is a regulatory document. 19 19 four digits is 7833. A. I would like to ask the 2.0 Scroll down, please, a little 20 plaintiffs' attorney whether your quotation 21 translated by the interpreter was a direct bit. 2.2 BY MR. SLATER: quotation from the original in English from 23 Q. There's a heading in the middle this paragraph. I'm sorry, my English is not of the page that says "DMF and MTBE." And in good.

Page 361 Page 363 This regulatory document is BY MR. SLATER: 2 within the responsibility of the regulatory Can you please answer the question, sir? affairs department. 4 If the interpreter just I cannot determine whether this translated what the plaintiffs' attorney just is within the topics I'm designated to testify on as a corporate witness. However, quoted directly from this paragraph, could in my own capacity with regard to the you help me by further clarifying, because the scope of the question is too broad. question posed by the plaintiffs' attorney, I can share some of my opinions. I would like to have a more 10 specific question, or maybe the interpreter To the best of my recollection, this regulatory document for submission is can translate this paragraph to me, because I need to get some understanding of the dated in 2013, according to what has been represented to me by the plaintiffs' context. 14 attorney. MR. SLATER: Okay. Go off the 15 15 In the risk assessment clock. 16 performed in 2011 with regard to DMF and MTBE You can translate the whole 17 paragraph for him if he wants it during the process change, in the attachment 18 it says DMF and MTBE needs to be further translated. This is off the clock. 19 tested in the validation process. THE INTERPRETER: The 20 In the process validation interpreter is asked to translate this 21 afterwards, based on the requirements of ICH, whole paragraph. 22 quality standards were formulated regarding (Interpreter translating 23 DMF and MTBE. Both solvents were tested for document to witness.) 24 any residues in the process of the Thank you, Interpreter. Now A. Page 362 Page 364 I'm clear. validation. MR. SLATER: Before we go on After the validation was 3 complete, between 2012 and 2013, when the clock, is he ready to answer the 4 question, or is he going to keep valsartan was manufactured using the zinc 5 chloride process, DMF and MTBE were asking me questions? Because I want 6 continuously being tested. to just get an answer on the record. 7 MR. BALL: We're going back on I believe, based on the work I 8 the clock. The clock is for just mentioned with regard to DMF and MTBE as 9 solvents, the conclusion was strong that it translation only, Adam. Please go 10 has been demonstrated that DMF and MTBE could back on the clock. 11 be completely removed. MR. SLATER: Don't be so angry, 12 12 Frederick. It's only the early part Q. Thank you. 13 13 of the deposition. You usually don't So the answer was yes, correct? 14 14 get angry for an hour or two into it. MR. BALL: Objection. 15 15 MR. BALL: You know, what can I Mischaracterizes his testimony. 16 16 say. Go back on the clock. I do not understand what the 17 17 BY MR. SLATER: plaintiffs' attorney was referring to when he 18 said "So the answer was yes." Here's my question. Did the 19 risk assessment performed in 2011 determine BY MR. SLATER: that DMF and MTBE were demonstrated to be 20 Q. All right. Let's go to completely removed from the manufacturing page 147, which the last four Bates numbers 22 are 7898. process, as stated here in this DMF? 23 23 MR. BALL: Objection. Outside That was pretty good. We did 24 one question in 25 minutes. We're cruising. the scope of the 30(b)(6) topics.

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Were you told that it's acceptable to take as long as you possibly can and give the longest possible answer

possible every single time in order to take

up the time in our deposition? Were you told that's acceptable for this deposition?

> MR. BALL: Objection. Vague. By whom?

BY MR. SLATER:

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You can answer.

MR. BALL: Before he answers -you don't need to translate this.

Adam, he's tried to provide you with a complete answer. I mean, that's all I can say.

Okay. Go ahead.

MR. SLATER: Wait a second. Why did you just put that in there before he translates it so that -- are you asking him to translate that?

MR. BALL: No. I'm not asking him to translate what I just said.

MR. SLATER: I don't appreciate the speaking -- I don't --

hasn't completed the testimony yet.

I believe had the exhibits presented to me been in Chinese, then we would have been much more efficient.

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With regard to the questions posed by the plaintiffs' attorney, I believe I have provided accurate responses in the most proper way I think.

If the plaintiffs' attorney has any questions regarding my answers, then we can have a discussion. However, I believe the previous question posed by the plaintiffs' attorney was a personal attack on me.

MR. SLATER: Cheryll, can you scroll down so we can see the top of the page also?

Thank you.

BY MR. SLATER:

Q. Page 147 of this document --Bates 7898 are the last four digits -- is the discussion about genotoxicity.

My question is, genotoxicity was evaluated, or was supposed to be

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MR. BALL: I said, don't translate what I just said. I'm telling you, if you're going to accuse my witness of trying to slow down your deposition, I'm going to say on the record he's trying to give the best answer he can to the questions you're asking.

Now he can answer the question. MR. SLATER: Please answer. Please read the answer.

I would protest against such statement from the plaintiffs' counsel. I deem it as a personal attack on me.

The document presented to me by the plaintiffs' attorney is in English, and my English skill is poor, so I have to ask the interpreter to translate the content of the document to me.

Besides, while he was translating, the time was off.

22 O. Were you required to produce --23 MR. SLATER: I'm sorry. What? THE INTERPRETER: The witness

evaluated, as part of the risk assessment for the zinc chloride process, correct?

- I would like the plaintiffs' attorney to clarify which time frame and which process were you referring to.
- 2011, and I said the zinc Q. chloride process.
- A. Okay. In 2011, during the valsartan zinc chloride process change, we conducted impurity analysis and risk assessment based on the requirements of laws and regulations, which included the risk assessment on genotoxic impurities.

The work we conducted in 2011 was based on the knowledge of the authorities, the industry, and ZHP.

Q. The 2011 risk assessment for genotoxic impurities for the zinc chloride process was a failure because it failed to detect the presence of NDMA, correct?

MR. BALL: Objection. Mischaracterizes his earlier testimony, and calls for opinion. MR. SLATER: I wasn't

characterizing his testimony. I was asking him a direct question. I'll

expect a yes or no.

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MR. BALL: Calls for opinion and expert testimony.

MR. SLATER: No, it doesn't. It's a fact question.

MR. BALL: No, it isn't, Adam.

BY MR. SLATER:

Q. Go ahead and answer.

A. The statement provided by the plaintiffs' attorney was incorrect. That was not what I tried to express.

The risk assessment, including the risk assessment of genotoxic impurities, had to be performed based on the situation and background at that time.

In 2011, the authorities, the industry, and ZHP did not have any knowledge of the formation of NDMA in valsartan zinc chloride process.

Q. This has a summary and refers to the EMEA CHMP guideline on the limits of genotoxic impurities effective as of

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which is the guideline that we just discussed
 from the EMEA, and I'd like to turn now to

Section 4 on page 4 of 8 at the very top.

The first paragraph under

Section 4, which is titled "Toxicological

⁶ Background," says, "According to current

⁷ regulatory practice it is assumed that

8 (in vivo) genotoxic compounds have the

⁹ potential to damage DNA at any level of

exposure and that such damage may

lead/contribute to tumor development. Thus

¹² for genotoxic carcinogens it is prudent to

¹³ assume that there is no discernible threshold

and that any level of exposure carries a
 risk."

My question is, since your company consulted this standard in 2011, your company knew the information I just read, correct?

A. The question posed by the plaintiffs' attorney was based on the English paragraph on the screen.

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As for the content of this quote in English, the interpreter already

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January 1, 2007.

Was that relied on by ZHP in evaluating genotoxic impurities for the zinc chloride process in 2011?

A. Based on the information I collected with regard to our work done in 2011 as a corporate witness, when the risk assessment for the impurities was performed, this guideline was used as a reference.

MR. SLATER: Cheryll, if you could, could you pull that guideline up, please? It's the one that's dated -- it says London, 28 June 2006 in the top right.

Thank you.

BY MR. SLATER:

Q. On the screen is Exhibit -- I think we're at 206, right?

(Whereupon, Exhibit Number ZHP-206 was marked for identification.)

²² BY MR. SLATER:

Q. Start over.

On the screen is Exhibit 206,

¹ translated that to me, and I already

² understood the meaning of the quote.

However, could the plaintiffs' attorney repeat his pending question in English again so that I can fully understand the question and provide an accurate answer?

Q. ZHP knew the information in that paragraph in 2011 when it performed its risk assessment on the zinc chloride process, correct?

A. As the corporate witness, I retrospectively reviewed many documents and noticed that ZHP used this official document as a reference when they performed the risk assessment.

As for the person that was performing the risk assessment, whether he or she specifically paid attention to what has been quoted by the plaintiffs' attorney regarding the background, I'm not sure.

Q. Let's go now to page 5 of 8. The very bottom of the page is Section 5.2.3.

Section 5.2.3 of this document, which ZHP relied on in 2011, as you've told

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¹ us, is titled "Application of a Threshold of Toxicological Concern."

> MR. SLATER: And what I'd like to do is turn to the next page to go to a paragraph in the middle of the next page as part of this.

Perfect.

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It says right in the middle of the page, "Some structural groups were identified to be of such high potency that 11 intakes even below the threshold of 12 toxicological concern" -- "TTC" -- "would be ¹³ associated with a high probability of a ¹⁴ significant carcinogenic risk." And then it cites "(Cheeseman et al. 1999 and Kroes et al. 2004)."

17 "This group of high potency genotoxic carcinogens comprises aflatoxin-like, N-nitroso-, and ²⁰ azoxy-compounds that have to be excluded from ²¹ the threshold of toxicological concern approach. Risk assessment of members of such groups require compound-specific toxicity ²⁴ data."

Q. Can you answer my question, which is, all the information we've gone over -- rephrase.

Can you answer my question that all of the information in this guideline from the EMEA, the European Medicines Agency, was also available and known to ZHP in conducting the risk assessment for the TEA process with sodium nitrite quenching, correct? 10

MR. BALL: Objection. Vague with time frame.

But, Peng, to the degree you can answer his question yes or no --

MR. SLATER: 2011.

MR. BALL: -- please try to do so. To the degree you need to expand upon it to answer thoroughly, feel free.

19 What format of knowledge are you referring to when you were saying that in 2011, when ZHP was conducting risk assessment for TEA process, the information on the screen was already known to ZHP? 24 ///

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That information was known to ZHP in 2011, correct?

A. Based on the information I collected as a corporate witness, in 2011 when ZHP conducted impurity risk assessment for valsartan zinc chloride process change, we used this document shown on the screen as a reference.

With regard to the description provided by the plaintiffs' attorney specifically and translated by the ¹² interpreter, ZHP conducted risk analysis or risk assessment for sodium nitrite during the valsartan zinc chloride process change in ¹⁵ 2011.

And all of the information we 17 went through from this guidance was known to ¹⁸ ZHP when it conducted the risk assessment for the TEA process with sodium nitrite quenching, correct?

21 For the triethylamine process, based on the requirements of laws and regulations, ZHP likewise conducted testing ²⁴ of sodium nitrite.

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BY MR. SLATER:

Q. Was the risk assessment for the TEA process with sodium nitrite quenching conducted in 2011?

I'm sorry, but could you ask Α. your question more specifically?

- No. I wouldn't know how to do O. that.
- The interpreter's translation was very clear to me, but still I cannot understand your question.

Maybe you can clarify what your question is or what you were referring to so that I can provide an accurate answer.

- When did ZHP conduct the risk O. assessment for the TEA process with sodium nitrite quenching to manufacture valsartan?
- Based on the documents I reviewed as a corporate witness, I believe it was conducted in 2011.

MR. SLATER: Cheryll, if you have the next document, the EMA document, the "Questions and answers on the 'Guideline on the limits of

Page 377 Page 379 1 genotoxic impurities," I'd like to (Whereupon, Exhibit Number 2 2 pull that up, please. ZHP-207 was marked for 3 MS. CALDERON: I would need a 3 identification.) 4 minute on that, so... BY MR. SLATER: 5 5 MR. SLATER: Forget it, then. Q. What I'm going to do is -- this 6 is dated September 23, 2010. Let's go back -- let's take this 7 7 document down and let's go back to the MR. SLATER: I'd like to turn 8 8 DMF, page 147, where we were before, to the second page, please, Section 2. 9 and then we're going to go from there And right in the middle of the 10 to the FDA guidance document that's page, it says -- it talks about levels of 11 referenced, the FDA draft guidance. mutagenic impurity right in the middle of the 12 BY MR. SLATER: page, and what I want to focus on, where it 13 13 Q. Going back to the discussion talks about the standard of "as low as about genotoxicity, in the summary, after reasonably practical" guideline, it says referencing the EMEA guideline, it also ALARP considerations can apply "unless it is referenced FDA draft guideline "Genotoxic and a structure of very high concern; for Carcinogenic Impurities in Drug Substances example, N-nitroso compounds." and Products: Recommended Approaches," which My question is this. ZHP knew it states "is applicable to the applications in 2011 that N-nitroso compounds were for existing active substances." structures of very high concern, correct? 21 21 That was another guidance that I would like to ask the was relied on in 2011 by ZHP in performing plaintiffs' attorney what "ALARP" means. I 23 its risk assessments of both TEA process with don't understand what it means. 24 sodium nitrite quenching and TEA process with As stated in Question 2 just Q. Page 378 Page 380 zinc chloride, correct? above what I read, it means "as low as A. Based on the FDA guideline reasonably practicable." mentioned by the plaintiffs' counsel, which It actually says, to give you a is in English and is also shown on the little more information, Question 2 starts

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screen, and based on the document shown now on the screen, I am able to draw the same conclusion.

Q. Can you read that first paragraph in English? Are you able -- I'm not asking you to, but are you able to?

A. I can recognize certain words; for example, "impurities," "FDA," "drug."

MR. SLATER: Cheryll, you said you found the EMA question and answer document, so let's put that up first. Okay.

I've now put on the screen -before we get to the FDA guideline, I have the EMA "Questions and answers on the 'Guideline on the limits of genotoxic impurities" up on the screen, which I think is Exhibit 207 now.

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out stating, "The guideline indicates that it is necessary to reduce a known or suspected mutagenic impurity to as low as reasonably practicable (ALARP) even if the level is below the threshold of toxicological concern 10 (TTC)." 11

I hope that helps.

Okay. Thank you very much. Could you repeat your question again?

Q. As stated on this page, N-nitroso compounds are described as "a structure of very high concern" as part of Section -- Question 2, the answer.

That was known to ZHP in 2011, correct?

20 A. In 2011, based on the knowledge of the authorities, the industry, and ZHP, we 22 conducted our corresponding work. For example, for sodium nitrite, we added testing of residual sodium nitrite in the validation

Page 381 Page 383 batches. page; and, if possible, I would like 2 interpreter to translate the context. MR. BALL: Peng, to the degree 3 3 you can answer the question he asked MR. SLATER: Great. Go off the 4 4 in a yes or no, or if you have to time. 5 5 qualify it, that's fine. It's the very bottom, number 3, 6 6 And, Adam -- please don't little three iii's. It says, "Yes, 7 7 translate the rest of this, genotoxicity testing." 8 8 You can translate that for him Dr. Shao -- Adam, we've gone about 9 9 75 minutes plus with translation, so if you'd like. 10 maybe we can try to get this question 10 (Interpreter translating 11 11 answered and take a break. document to witness.) 12 12 MR. SLATER: Okay. Now I'm clear. Thank you, A. 13 13 A. Okay. Thank you. Interpreter. 14 14 BY MR. SLATER: MR. BALL: Back on the timer, 15 O. Did -- rephrase. 15 please. 16 16 Was ZHP aware in 2011 that MR. SLATER: Is he answering or 17 17 N-nitroso compounds were structures to be of is he talking? 18 very high concern according to the European Okay. Let's go back on the Medicines Agency? Yes or no. 19 timer. 20 20 A. Your question is not a simple We're all talking over each 21 21 answer that I can simply answer with a yes or other. Let's stop for one second. 22 22 Sorry, Dr. Shao. 23 23 In 2011, ZHP conducted BY MR. SLATER: corresponding work based on the knowledge of Q. We're back on the timer. Page 384 Page 382 the authorities, the industry, and ZHP Please answer the question. valsartan zinc chloride process at that time. I would like the question to be The authorities also included EDQM. repeated because it took some time for the 4 MR. SLATER: Go off the record. translation. I'm sorry. 5 THE VIDEOGRAPHER: The time This says that N-nitroso 6 right now is 8:25 a.m. We're now off compounds belong to a class of very potent 7 genotoxic carcinogens. the record. 8 8 You agree with that, correct? (Whereupon, a recess was 9 9 taken.) MR. BALL: Objection. Calls 10 10 for opinion and expert testimony. THE VIDEOGRAPHER: The time 11 right now is 8:42 a.m. We're back on A. From the plaintiffs' attorney's 12 statement as well as the interpreter's the record. 13 BY MR. SLATER: interpretation for the corresponding content, 14 now I understand what it says on the screen Q. At the very bottom of page 2, this refers to "a class of very potent in English, which matches the statement of 16 genotoxic carcinogens," and that includes, the plaintiffs' attorney. 17 17 according to this, N-nitroso compounds. However, I'm not a 18 You agree that a N-nitroso toxicologist. I'm not able to provide a 19 corresponding accurate judgment. compound is a very potent genotoxic 20 carcinogen, correct? BY MR. SLATER: 21 21 MR. BALL: Objection. Calls Q. What I just read was known to 22 22 for opinion. ZHP in 2011, correct? 23 23 A. I need the plaintiffs' attorney A. Are you referring to the third to point out where that statement is on this paragraph on the screen translated by the

Page 385 Page 387 ¹ interpreter just now by saying what I just ¹ effort should be made to prevent the formation of genotoxic or carcinogenic read? 3 compounds during drug substance synthesis or Q. Yes. 4 As a corporate witness, based drug product manufacturing." A. I'm -- I was paying a lot of on the information I collected, after reviewing certain documents, ZHP did use this attention to the interpreter's translation. regulatory document as a reference during Can you please repeat your question? Q. Do you agree with the statement valsartan's zinc chloride process change in 9 that I just read to you from this FDA 2011. 10 However, in my personal opinion 10 guidance document? 11 A. As for this guidance document, as to whether the specific operator paid attention to the third paragraph on the in my personal opinion, since it is a legal screen that was just quoted by the document, I'm not able to evaluate it with a plaintiffs' attorney, I cannot give an simple yes or no. 15 affirmative answer. In other words, I'm not Q. Are you refusing to answer the 16 16 auestion? sure. 17 17 However, during the valsartan MR. BALL: Hold on. That's not 18 zinc chloride process validation in 2011, ZHP what he said. He said he can't answer did add testing for sodium nitrite. 19 your question. Maybe if you rephrased 20 20 MR. SLATER: Let's take this 21 21 document down, and let's put up the MR. SLATER: Really? Like four 22 22 Guidance for Industry from the FDA. or five times for the next half-hour, 23 23 This is Exhibit 208. It's the maybe? 24 24 FDA Guidance for Industry regarding MR. BALL: Adam, if that's what Page 386 Page 388 Genotoxic and Carcinogenic Impurities it takes, feel free. I can't help how 2 2 in Drug Substances and Products: you're asking questions. 3 3 Recommended Approaches that was cited MR. SLATER: I don't know why 4 4 in the DMF update in December 2013. you're -- I don't need to be 5 5 (Whereupon, Exhibit Number addressed. I'm looking at the 6 ZHP-208 was marked for 6 document. I don't need to be coached. 7 7 Thank you. identification.) 8 8 MR. SLATER: What I would like BY MR. SLATER: 9 9 to do now is turn to page 7, please. You realize -- well, rephrase. 10 10 BY MR. SLATER: Does ZHP agree with the 11 statement that I read, quoting from the FDA Section IV is titled "Recommended Approaches" -- let me rephrase guidance document? 13 13 I think as for this FDA 14 Looking now at Section IV-A, guidance document, we as a company cannot say we agree or don't agree. Rather, we should titled "Prevention of Genotoxic and ¹⁶ Carcinogenic Impurity Formation," I'm going conduct our work based on our current 17 to read something, Mr. Dong, and I'm going to knowledge as well as the requirement of this ask you to listen to this and tell me if ZHP 18 guidance document. 19 19 agrees with this statement, okay? One feasible technical effort

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to prevent -- rephrase.

It would have been feasible to

to see if there were any nitrosamine

impurities as part of the risk assessment.

perform gas chromatography-mass spectrometry

Golkow Litigation Services

and because of their potential to cause cancer in humans, every feasible technical

And I'm going to now read it.

"Since drug-related impurities presumably

provide limited, if any, therapeutic benefits

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That would have been feasible,

correct?

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MR. BALL: Objection.

Speculative.

A. In 2011, during the development of valsartan zinc chloride process, ZHP conducted our work based on the knowledge then.

However, at that time, the authorities, the industry, or ZHP did not have any knowledge on the nitrosamine impurities, including its detection methods. BY MR. SLATER:

Q. We'll come back to that.

ZHP did use mass spectrometry to evaluate potential impurities as part of its risk assessment for the zinc chloride process, correct?

A. I'm sorry, but I do not understand your question. I wonder if you can break this question into shorter ones since it's a little bit too long to me, and then ask shorter questions one by one, or you can ask a more specific question.

Page 390

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No, I don't think we're going to do that. We're not going to bleed the clock much longer on this one.

MR. SLATER: Go to page 8, the top of page 8, please, Cheryll.

ZHP was aware from this document that N-nitroso structures "have extremely high carcinogenic potency and are excluded from the threshold approach," as it states at the bottom of the top carryover paragraph. ZHP knew that in 2011, correct?

The interpreter already provided me with a very clear translation; however, I do not get your question because ¹⁵ it's a little bit too long. Can you break into shorter ones or ask more specific questions?

Q. From -- rephrase.

Based on this document -- well, rephrase.

As stated in this document, N-nitroso compounds "have extremely high carcinogenic potency and are excluded from the threshold approach."

ZHP knew that in 2011, correct?

2 I'm not quite sure what you mean by "excluded from the threshold approach."

Q. ZHP knew in 2011 that N-nitroso compounds such as NDEA and NDMA had extremely high carcinogenic potency, knew that in 2011, correct? 9 MR. BALL: Objection. Calls

10 for expert testimony. BY MR. SLATER:

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Answer the question, please.

A. I would like to tell you that I don't quite get your question. What form of knowledge are you referring to by ZHP knew in 2011?

17 Q. You're asking me to define what it means to know something?

19 A. I would like you to be more specific; for example, the form of the 21 knowledge or the scope of the knowledge.

Q. This document was known to ZHP in 2011, and ZHP knew all the contents, including that sentence I read, correct?

Page 392

I'm sorry. I need you to point out where the sentence you just referred to is in this paragraph, and then I would like to ask the interpreter to translate for me.

MR. SLATER: Go off the timer.

Dr. Shao, it's at the top, the carryover paragraph. There's a sentence that starts, "However, there are some compounds."

Do you see that?

THE INTERPRETER: Yes.

MR. SLATER: It's that sentence that I'm reading.

(Interpreter translating document to witness.)

THE INTERPRETER: The interpreter is asked to translate the whole paragraph.

MR. SLATER: Hey, I'm not the guy trying to get out of Macao on Thursday night or Friday morning, so if he wants you to translate -- you're talking about starting on the prior page? Go ahead. Have at it. You can

Page 393 Page 395 1 MR. SLATER: I was going to go back. As long as he wants to 2 2 spend -- I don't know what else to say, possibly suggest to your client 3 3 tell him. I mean, it's a really long there's an easier way to do this. 4 4 How about this. I'll do you a paragraph. 5 5 favor here. Let's go back on the (Interpreter translating 6 6 document to witness.) timer. 7 BY MR. SLATER: A. I believe I need to understand Q. Does ZHP agree or disagree with the background or the context of this the FDA's statement that N-nitroso compounds sentence in order to give you a response have extremely high carcinogenic potency? that's accurate. 11 MR. BALL: Objection. Calls BY MR. SLATER: 12 12 You don't have to explain for expert testimony. 13 yourself to me if you want Dr. Shao to read May I proceed to answer? it to you. But we're not on the clock, and 14 MR. BALL: Please. 15 we're not going to go until 3:00 o'clock in BY MR. SLATER: the morning tonight. So all I'm saying is 16 That would be wonderful. Q. 17 we're probably going to be continuing Friday As a company, I don't think we 18 night. That's all I'm saying. can simply agree or disagree with FDA's 19 corresponding guidelines. What we were MR. BALL: Adam, you don't get 20 supposed to do was to conduct the to make that decision. 21 MR. SLATER: So we're just corresponding work based on the requirements 22 going to go until 3:00 in the morning of the laws and regulations at that time. 23 23 Q. That includes conducting the tonight? 24 work in accordance with this guidance MR. BALL: No. We're going to Page 394 Page 396 document, correct? go the five hours of translation time 2 that you are allowed. From the document you just 3 showed me on the screen, which was a document MR. SLATER: Okay. Well, thank 4 you for telling me what I'm going to that ZHP submitted to FDA, I saw that 5 corresponding work was conducted using this do, but, you know, this --6 MR. BALL: No, I'm not telling FDA's guidance document as a reference. 7 MR. SLATER: Cheryll, let's go you what you're going to do. 8 8 MR. SLATER: We're going to do to the last page of this document, 9 9 this for 15, 20 minutes now, this page 13, the Decision Tree Flow 10 10 paragraph, on a simple question. Diagram. 11 11 Maybe there's an easier way Appendix A to this FDA guidance 12 through this if you suggest -- well, is a Decision Tree Flow Diagram, and the 13 first thing in the flow is to identify the you do whatever you want. I'm not 14 impurity. going to --15 15 Do you see that at the top of MR. BALL: You already said I 16 16 the flowchart? shouldn't coach you on how to ask 17 17 questions, Adam. A. Are you referring to the box on 18 MR. SLATER: I'm sorry. I top of this flowchart which has an English 19 19 word followed by the word "impurity"? don't know how to ask questions? 2.0 20 Yes, I am. MR. BALL: I said you already Q. 21 21 suggested I should not help you ask A. I do see that box. 22 22 questions. And you agree that in trying to 23 prevent genotoxic impurities, the most MR. SLATER: No, you shouldn't. 24 important thing to do is to identify that the MR. BALL: So...

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¹ impurities are there, correct?

A. I disagree with your statement.

³ The work conducted involved many departments,

⁴ and it was very complex and complicated.

Therefore, it is not appropriate to say

certain work was very important or the most

important.

Q. If you don't identify the

impurity -- withdrawn. It doesn't matter.

It's obvious.

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MR. SLATER: Let's go back to the DMF, page 148 of the DMF, please, Cheryll.

Scroll up a little more.

Perfect.

16 Q. Looking now at page 148 of the 17 DMF dated November 10, 2013, this is the Discussion on Impurities and the table of

organic impurities. 20 MR. SLATER: What I'd like to

do is scroll down, please, to the text 22 at the bottom of the page.

23 Q. And this says in part, "there

²⁴ is not any high potency genotoxic group, such

not any high potency genotoxic group, such as, aflatoxin-like, N-nitroso-, and

Page 399

Page 400

azoxy-compound has been included in these impurities."

That was a statement based on the risk assessment performed in 2011, correct?

> Α. That's not correct.

MR. BALL: Adam, I just want to make sure we went back on the timer.

With regard to your question quoting the sentence in English in the last paragraph on the screen, as well as the information I collected through the translation of the two paragraphs by the interpreter, I believe ZHP had this statement based on the impurities D and J, or "D to J and hydrolysis product," which is the prior sentence to the sentence you just quoted.

BY MR. SLATER: 21 The basis of that statement was the risk assessment that was conducted by

ZHP, correct?

A. I would like you to clarify

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as, aflatoxin-like, N-nitroso-, and

azoxy-compound has been included in these

³ impurities." I'm going to stop there.

That was part of the risk assessment performed in 2011, that statement, correct?

7 A. I need you to point out which paragraph and which sentence you just quoted. I would like the interpreter to translate the

whole paragraph in order to understand the 11 context.

12 MR. SLATER: Go off the timer. 13 It's the last paragraph on the 14 page that starts, "Regarding of the 15 impurity D-J."

Okay. Thank you, Interpreter.

17 I'm ready to answer a question. 18

Okay. Go ahead and answer the question, please.

20 A. I'm sorry. It took some time for the interpreter to translate the document, so would you please repeat the pending question?

The statement that "there is

what you are referring to by that statement.

MR. SLATER: Scroll up to the top of the table.

Perfect.

At the top of the page where it says "Organic impurities," ZHP wrote in this document "All the potential organic impurities are demonstrated in Valsartan listed as follows," and then there's that 10 whole table.

And nowhere does it include NDMA, correct?

Where it says "all the potential organic impurities" was based on the knowledge and understanding at that time. That's the validation and the conclusion we made.

18 O. That was also based on the failure of the scientific analysis to be thorough in performing the risk assessment, correct?

MR. BALL: Objection. Calls for opinion.

What you said is not correct.

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Page 401
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                                                         for speculation and vague.
           In 2011, when ZHP was
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   conducting valsartan zinc chloride process
                                                             MR. SLATER: All right. I'll
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   change, our work was based on the knowledge
                                                         ask it differently then.
                                                   4
  of the authorities, the industry, and ZHP at
                                                             Well, actually, no, I won't.
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   the time.
                                                         I'll ask it differently but ask the
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                                                         same question.
           The scientific method for the
                                                     BY MR. SLATER:
   potential impurity analysis was also based on
   the understanding and knowledge at that time.
                                                         Q. IARC monographs were well-known
                                                     in the scientific community by 2011, correct?
   BY MR. SLATER:
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       Q. Bottom line -- withdrawn.
                                                             MR. BALL: Objection. Calls
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                                                  11
                                                         for speculation.
           MR. SLATER: Let's take this
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                                                         A. I am not able to provide an
       document down.
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           This is a colorful one.
                                                     accurate answer.
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           By the way, are we at a break
                                                             As for the validation in the
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      or should we keep going? Because I'm
                                                     scientific community, I believe it is beyond
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       starting a new document.
                                                     the scope of the topics I am designated to
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           MR. BALL: We have roughly
                                                     testify on.
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                                                  18
                                                     BY MR. SLATER:
       27 minutes until we've got 70 minutes.
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           MR. SLATER: Oh, really? Okay.
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                                                         Q. ZHP knew of the existence of
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           MR. BALL: Yes.
                                                  20
                                                     IARC monographs by 2011, correct?
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           MR. SLATER: Great.
                                                         A. I cannot provide you with an
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                                                     accurate answer. By 2011, ZHP might have
           This is Exhibit 208, right?
23
       Wild guess?
                                                     known or might have not known the existence.
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                                                     I haven't reviewed any related documents, so
           THE STENOGRAPHER: 209.
                                         Page 402
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           MR. SLATER: Like I said, this
                                                     I cannot give you an accurate answer.
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      is Exhibit 209.
                                                               What documents did you review
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                                                     to prepare for this deposition?
           (Whereupon, Exhibit Number
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      ZHP-209 was marked for
                                                              MR. BALL: Objection to the
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      identification.)
                                                         degree that it goes to --
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   BY MR. SLATER:
                                                              MR. SLATER: I didn't ask the
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           This is Exhibit 209, which is
                                                         question in a way that would invade on
   from the International Agency for Research on
                                                         privilege.
  Cancer, known as IARC, and it's the "IARC
                                                     BY MR. SLATER:
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<sup>10</sup> Monographs on the Evaluation of the
                                                               Answer the question.
                                                         O.
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   Carcinogenic Risk of Chemicals to Humans,
                                                              MR. BALL: Adam, can I finish,
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   Some N-Nitroso Compounds," and it's dated in
                                                         please? I get to make my -- you get
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   the bottom left as May 1978.
                                                         to ask your questions; I get to make
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           MR. SLATER: If you could just
                                                         my objections.
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       scroll up a little, Cheryll, please.
                                                              Objection on the basis of the
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           IARC monographs --
                                                         attorney/client privilege. To the
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           MR. BALL: Adam, I can't see
                                                         degree they're documents that he
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       the bottom.
                                                         reviewed with counsel, please don't
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           There we go. Thank you.
                                                         disclose those.
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   BY MR. SLATER:
                                                              MR. SLATER: That's an
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          IARC monographs were known in
                                                         inappropriate objection. I did not
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   the scientific community well before and
                                                         ask him that. You're feeding
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   certainly as of 2011, correct?
                                                         something into it to try to convolute
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MR. BALL: Objection. Calls

the question.

Page 405 Page 407 1 MR. SLATER: Okay. MR. BALL: We're not. You 2 2 THE VIDEOGRAPHER: Are we going asked him what documents did he 3 3 review. off the record? 4 4 MR. SLATER: That's all I asked MR. SLATER: Yes. 5 5 THE VIDEOGRAPHER: The time him. 6 6 MR. BALL: Yes, and I'm telling right now is 9:49 a.m. We're now off 7 him he can answer to the extent they 7 the record. 8 8 weren't documents that we showed him. (Whereupon, a recess was 9 9 MR. SLATER: That's an improper taken.) 10 10 THE VIDEOGRAPHER: The time instruction. 11 11 right now is 10:06 a.m. We're back on MR. BALL: I disagree. 12 12 BY MR. SLATER: the record. 13 13 Q. What documents did you review MR. SLATER: That is not the 14 to prepare for this deposition? document, Cheryll. 15 15 MR. BALL: Same objection. Cheryll, please take that down. 16 16 A. As a corporate witness for this Chervll. 17 17 deposition, I reviewed documents related to MR. BALL: Adam, I didn't look 18 our previous work; for example, the process at it at all, I swear. change document that we have been discussing 19 MR. SLATER: It's our chat, but about over the past few days, validation 20 I hope it wasn't -- if it's recorded, 21 documents, as well as batch records. I'm going to ask to have it edited out 22 if possible. Do you mind? BY MR. SLATER: 23 23 MR. BALL: No, I don't mind. I Is that all you reviewed? Q. 24 24 know that was a technological error. A. Since you're asking for all the Page 408 Page 406 documents, would ICH document be counted? I'm not going to -- in the same way I 2 2 O. Yes. don't think you want to see the texts 3 3 A. I did review some ICH that I'm sending to Patrick during the 4 documents. As for the others, it happened deposition. 5 some time ago, and I do not recall. MR. SLATER: Unless you're Are those documents that you 6 telling him what's going on in the 7 reviewed in a file, either in paper or on last four minutes of the Knicks game, 8 computer? which I'm now missing when it's a 9 9 A. Some of the documents I two-point game, then no. 10 reviewed, such as process procedures, MR. BALL: I am not. Although 11 changes, validations, batch records, are in I am going to point out that it's the 12 the hard-copy form stored in an archive. women's final, NCA final, on next 13 13 Q. Did you review any IARC Sunday for Mr. Gu -- for Dr. Gu, so I 14 14 monographs? find that highly disappointing. 15 15 I'm sorry, my English is poor. MR. SLATER: All right. Let's What do you mean by "IARC" or the English 16 go on the clock. 17 17 word to that effect? And, Cheryll, let's go to 18 18 Q. IARC, International Agency for page 36, please. 19 19 Research on Cancer. That's not the right page. 20 A. Personally speaking, I haven't 20 That's page 38. 21 reviewed this document. MS. CALDERON: You cut out. 22 22 MR. BALL: Adam, we've probably Can you repeat the page? 23 23 gone long enough that the translator MR. SLATER: Page 36. 24 24 Probably talking too soft as needs a break.

Page 409 1 usual. BY MR. SLATER: 3 3 Q. Looking at the third paragraph, 4 the first sentence says, "It has been known since 1865 that the reaction of dimethylamine 6 hydrochloride with sodium nitrite at an 7 acidic pH yields N-nitrosodimethylamine," 8 which I think we can agree is NDMA. 9 9 Did ZHP have that knowledge in 10 10 2011? 11 11 THE INTERPRETER: The 12 12 interpreter is asked to repeat the 13 13 rendition. 14 14 MR. SLATER: One second. Is he 15 15 asking you to translate it for him? 16 16 THE INTERPRETER: No. 17 17 MR. SLATER: All right. Go 18 18 ahead, read it again. 19 19 THE INTERPRETER: Signal is not 20 20 stable, so the witness --21 21 MR. SLATER: Okay, it's fine. 22 It's fine. You don't have to explain, 23 Dr. Shao. You can just read it to 24 him. Page 410

please, as to what you know right now, speaking for ZHP?

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Page 412

MR. SLATER: Actually, I'm not going to ask. I asked it twice; he doesn't want to answer it. We'll seek our remedy.

Once --

MR. BALL: Hold on. I'm sorry, I was muted.

I disagree with the proposition that he doesn't want to answer it. He tried to answer it.

Maybe if you rephrase the question, Adam.

MR. SLATER: It's not working. Every time I rephrase, I get another question back. So it's just -- it's like -- it's fruitless. So I'll go to the next question.

BY MR. SLATER:

Q. Once ZHP learned that there was dimethylamine reacting with nitrous acid in the zinc chloride process, ZHP knew that it had to optimize that process to prevent NDMA

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from forming, correct? A. In 2011, ZHP had no knowledge

of the formation of NDMA in valsartan zinc chloride process. 5

MR. BALL: I think there's confusion there. I think he's asking when they found out, what -- did they take steps to optimize the process.

Is that a fair characterization, Adam, of what you were asking?

MR. SLATER: Yes.

A. If my attorney correctly characterized your question, then my answer would be as follows.

After June 2018, when ZHP found the NDMA impurity in the valsartan zinc chloride process, ZHP organized corresponding departments and conducted corresponding work, including optimizing the process.

BY MR. SLATER:

Q. ZHP realized that it would never be appropriate to put dimethylamine with nitrous acid where those two substances

A. In 2011, ZHP had no knowledge of the formation of NDMA during the valsartan zinc chloride process.

Considering the English sentence you just quoted, by now ZHP has never manufactured valsartan using dimethylamine hydrochloride in the process.

- The zinc chloride process was yielding dimethylamine, which was then reacting with nitrous acid to create NDMA, correct?
- 12 A. I would like you to clarify which time frame or what context you're 14 referring to in your question.

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- 15 The entire time ZHP manufactured valsartan with the zinc chloride process, the process was yielding dimethylamine, which was reacting with 19 nitrous acid to form NDMA, correct?
- 20 A. During the development of valsartan zinc chloride process in 2011, ZHP had no knowledge of the formation of NDMA in the valsartan zinc chloride process. 24
 - Can you answer my question,

¹ could react together, because there would be a risk of creating NDMA, right?

Can you break this long question into shorter ones and ask them one by one --

> Q. Sure.

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A. -- or be specific in your question? Because your question is a little bit too long. I'm sorry.

No problem.

I'm asking about the time period of 2011.

MR. SLATER: Please tell him that.

15 Q. First I want to ask about dimethylamine.

MR. SLATER: Please tell him.

18 Q. In 2011, ZHP knew that dimethylamine could react with nitrous acid to form NDMA. As a matter of chemistry, ZHP 21 knew that, correct?

22 A. I would like to ask you the scope and the person you're referring to by "as a matter of chemistry."

to see if we have those documents. Doesn't sound familiar.

Were those notes? Rephrase.

What type of documents were they? Were they notes? Were they memos? Were they books? What were they?

- The documents I reviewed are mostly the files retained by the company; for example, the process change documents where Kai Yang put his signature on. 11
 - Q. Would ZHP have ever knowingly put dimethylamine and nitrous acid together as part of the manufacturing process for valsartan?

MR. BALL: Objection. Calls for speculation.

A. In 2011, during the valsartan zinc chloride process change, ZHP had no specific knowledge of the formation of NDMA in the valsartan zinc chloride process.

MR. SLATER: Cheryll, turn to page 40, please.

Q. This paragraph says in part that "The principal techniques employed by

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The people in charge of the risk assessments for the valsartan

manufacturing processes.

The key person in charge of the risk assessment for valsartan zinc chloride process in 2011 already left the company, so I cannot offer my evaluation of his personal knowledge of chemistry as a corporate witness.

All I can do is to use the documents that are available to me, such as the process change, process validation, and review them to find out about the circumstances at that time.

- Who is that person who left the Q. company?
- 17 For example, the manager in the technical department, Kai Yang, spelled as 19 K-A-I, last name Y-A-N-G.
- 20 Q. Did you review his notes and his files?
- 22 I did review some of the 23 documents he approved.
 - I guess we'll check our files

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Page 415

the analysis of volatile N-nitrosamines have

been described in a recent publication," it

gives the citation to Preussmann 1978, and then refers to "The relative merits of high-

and low-resolution mass spectrometry...since

use of mass spectrometry as a confirmatory technique is particularly important."

You would agree with me, knowing what you know now, that mass spectrometry is the best way to identify NDEA and NDMA in valsartan, correct?

MR. BALL: Objection. Calls for speculation, expert opinion, and opinion.

MR. SLATER: Actually, I'm going to withdraw the question. I'm withdrawing the question. I'm asking it differently.

BY MR. SLATER:

20 Q. This paragraph refers to the use of high- and low-resolution mass spectrometry to identify volatile 23 N-nitrosamines.

You agree that is the proper

| | PageID: 985 | <u>39</u> | |
|--|--|--|--|
| | Page 417 | | Page 419 |
| 1 | method to identify NDEA and NDMA in | 1 | (Cross-talking.) |
| 2 | valsartan, correct? | 2 | MR. BALL: No. I said it is |
| 3 | MR. BALL: Objection. Calls | 3 | speculative and calls for expert |
| 4 | for speculation, calls for expert | 4 | opinion is a totally proper objection, |
| 5 | opinion. | 5 | given how you phrased the question. |
| 6 | MR. SLATER: It calls for the | 6 | MR. SLATER: I don't understand |
| 7 | analytical process they employed. | 7 | why you want your client to hear that |
| 8 | MR. BALL: No. That's not what | 8 | you said that. He always repeats what |
| 9 | you asked, Adam. You did not ask what | 9 | you say. |
| 10 | did they employ. You asked if it's | 10 | MR. BALL: Adam, I'm allowed |
| 11 | the best way to do it. | 11 | make my objections, and he's allowed |
| 12 | MR. SLATER: Your objection is | 12 | to hear them. |
| 13 | not | 13 | MR. SLATER: All right. Go |
| 14 | MR. BALL: You | 14 | ahead. I'm trying to get through |
| 15 | (Cross-talking.) | 15 | this. I guess I can't. |
| 16 | MR. SLATER: Why are you | 16 | MR. BALL: No, you're not. I |
| 17 | yelling over me? | 17 | just gave you the form of a question |
| 18 | MR. BALL: I'm not trying to | 18 | that I would not object to. |
| 19 | yell over you. I'm trying to finish | 19 | MR. SLATER: I'll ask a |
| 20 | my you interrupted me, and you | 20 | different question, then. |
| 21 | said, that's what I said, and that's | 21 | I haven't asked the question |
| 22 | not what you said. | 22 | yet, Dr. Shao. |
| 23 | MR. SLATER: You just said that | 23 | THE INTERPRETER: Okay. |
| 24 | my question is speculative when you | 24 | MR. SLATER: What did you want |
| | | - | <u>, </u> |
| 1 | Page 418 | , | Page 420 |
| 2 | know that's exactly what they did. | 1 2 | to say? |
| 3 | MR. BALL: No, Adam, I didn't. | 3 | THE INTERPRETER: Go ahead. |
| 4 | Your question was "Is it the best | 4 | MR. SLATER: I don't know. |
| 5 | way." Then you said, "What I asked is | 5 | Were you asking me something or |
| 6 | what did they use." | 6 | THE INTERPRETER: The |
| | That's a totally different | | interpreter needs to re-log on to |
| 7 | question. If you want to ask what did | 7 8 | realtime. Can we just |
| 8 9 | they use, that's not speculative. | 9 | MR. SLATER: Off the record. |
| 10 | MR. SLATER: All right. Are we | " | Let's go off the record. |
| | | 110 | |
| 111 | going to now I don't want this | 10 | THE VIDEOGRAPHER: The time |
| 11 | going to now I don't want this interpreted for the witness. | 11 | THE VIDEOGRAPHER: The time right now is 10:33 a.m. We're now off |
| 12 | going to now I don't want this interpreted for the witness. MR. BALL: It doesn't need to | 11 12 | THE VIDEOGRAPHER: The time right now is 10:33 a.m. We're now off the record. |
| 12 13 | going to now I don't want this interpreted for the witness. MR. BALL: It doesn't need to be interpreted. I'm not asking | 11 12 13 | THE VIDEOGRAPHER: The time right now is 10:33 a.m. We're now off the record. (Whereupon, a recess was |
| 12 13 14 | going to now I don't want this interpreted for the witness. MR. BALL: It doesn't need to be interpreted. I'm not asking MR. SLATER: Then let's have | 11 12 13 14 | THE VIDEOGRAPHER: The time right now is 10:33 a.m. We're now off the record. (Whereupon, a recess was taken.) |
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| 12 13 14 15 16 17 18 19 20 21 | going to now I don't want this interpreted for the witness. MR. BALL: It doesn't need to be interpreted. I'm not asking MR. SLATER: Then let's have him answer. MR. BALL: I do want my objection interpreted, though. MR. SLATER: Why? MR. BALL: Because it's speculative the way you formed the question. | 11 12 13 14 15 16 17 18 19 20 21 | THE VIDEOGRAPHER: The time right now is 10:33 a.m. We're now off the record. (Whereupon, a recess was taken.) THE VIDEOGRAPHER: The time right now is 10:36 a.m. We're back on the record. BY MR. SLATER: Q. You stated earlier that back in 2011, at that time nobody had any knowledge on nitrosamine impurities, including its |

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principal techniques used are high- and low-resolution mass spectrometry.
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MR. BALL: Objection. That mischaracterizes his earlier testimony.

Go ahead and answer.

BY MR. SLATER:

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Q. Correct?

Wait. Actually, I'll ask it differently, then.

This publication in 1978 says that the principal technique to analyze volatile nitrosamines, which would include NDMA and then DMA, is high- and low-resolution mass spectrometry.

Did ZHP know that in 2011?

A. I would like to ask you what publication you're referring to that's published in 1978. Is that the document that's presented on the screen?

Q. Yes.

A. Can you point out which sentence on this paragraph you are referring to?

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Q. Why? Can you read English?

A. You just mentioned about the high-resolution mass spectrometry. I want to make sure high-resolution mass spectrometry is indeed referred to in this paragraph.

Q. But if I show you where it is in the paragraph, if you can't read English, how is that going to help you for me to point out where it is?

A. At least I can confirm that it was indeed mentioned in the publication in 1978.

Q. But you don't read English, so how is it going to help you for me to point out where it says it in this paragraph? Are you going to read it?

A. I just want to confirm that what you just said is indeed included in the publication in 1978, rather than your own understanding and judgment.

Q. Mr. Dong, I would appreciate it if you would actually answer my question.

Do you speak English?

Let me -- why are you asking to

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know where it is in the paragraph when you've already told us under oath that you can't

read this language?

A. I did say that I cannot read English. That's a fact. However, I believe I'm entitled to know that statement was

indeed included in a publication in 1978. I want to confirm it is a fact.

Q. Okay. Then why don't you do this, Mr. Dong.

Do you see this paragraph in front of us? Why don't you read what you can of that paragraph. Since you're going to confirm it, confirm it out loud for us, please. You'll see it in the fifth line, sixth line, you'll see it. Fifth line.

MR. BALL: Objection.

Harassment.

MR. SLATER: It's not harassment.

MR. BALL: It is.

MR. SLATER: The witness said he wants to confirm this by reading it himself, so I'm telling him --

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MR. BALL: No, he did not say he wanted to --

BY MR. SLATER:

Q. It's the fifth line.

MR. BALL: He did not say he wanted to read it himself. That mischaracterizes what he said. He said he'd like you to tell him where it is.

Tell him where it is, and we can go on.

MR. SLATER: Great. It's in the fifth line, it starts.

A. Could you repeat your question? Had that not been the discussion, I would have recalled the question.

BY MR. SLATER:

Q. I have no idea what my question was. You totally distracted me. You defeated me on that one.

MR. SLATER: Maureen, if you can find that for me, can you remind me what my question was, please?

///

(Whereupon, the reporter read back the requested question.)

A. In 2011, ZHP had no knowledge of the formation of NDMA in valsartan zinc chloride process.

When nobody had such knowledge, there was no reason to adopt additional method to test unexpected impurities or the impurities that we were unable to speculate. That is basically beyond our expectation.

As for your statement that I successfully distracted you just now, that's not true. I was merely asking you to be more specific and clear for your questions.

15 BY MR. SLATER:

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Q. So you won't answer my question?

A. I believe I've already provided a response to your question.

In 2011, during the development
of valsartan zinc chloride process, ZHP did
not have any specific knowledge of the
formation of NDMA in the valsartan zinc
chloride process.

¹ Thank you.

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Q. Here on the page with Bates number 75798, there's a list of people who reviewed and approved this report. Your name appears, and you signed this report, correct?

A. That's correct. As shown on the screen, on the third line my name was listed here. I did review and approve this report.

MR. SLATER: Let's go to page 5 of 236.

Q. Section 3.1.1 is the "NDMA Event Description."

Do you see that?

A. I see it under 3.1.1. There's a Chinese sentence which says "Valsartan (zinc chloride process) NDMA Event General Description."

Q. In the first paragraph it refers to -- rephrase.

At the end of the first
paragraph, it says, "Please refer to
Deviation Investigation No.: DCE-18001 for
details."

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When nobody had such specific knowledge, I don't believe we take into consideration any further approaches.

MR. SLATER: Okay. Let's take this document down. I give up. Move on to the next thing.

Take that down, Cheryll. Let's go to the other document that I started to identify before, the deviation -- perfect.

(Whereupon, Exhibit Number ZHP-210 was marked for identification.)

BY MR. SLATER:

Q. Exhibit 210. Mr. Dong, you've seen this document before, correct?

A. I did review a deviation investigation report with the number DCE-18003. However, I cannot be 100 percent sure the document I reviewed was completely consistent with the document shown on the screen.

MR. SLATER: Let's go to the next page, Cheryll.

My question is, have you seen that report in Chinese, meaning in the Chinese language, DCE-18001?

A. I did review the deviation investigation report with the number DCE-18001.

Q. In the Chinese language?

A. I only read the Chinese version. I do not recall whether the document I reviewed was only the Chinese version or the bilingual version in both Chinese and English.

Q. The second paragraph says in part, "Due to the fact that NDMA is a recently found unexpected impurity with the nature of probable carcinogen, the incident of the deviation has received great attention from Huahai's top management."

Does top management go all the way up to Baohua Chen? Did he give his great attention to this issue?

MR. BALL: Adam, can you scroll down, please? I can't read. You have to scroll down. I can't see it.

Case 1dgnfd-02475-FMB-5AKorfigument 2663-113-je-Eiled-03/26124-e-E-199-23 of 23er PagelD: 98542 Page 429 Page 431 I'm sorry, I don't know who (Whereupon, the reporter read you're referring to by "Baohua Chen." back the above answer.) 3 Q. Mr. Chen, the chairman of ZHP. BY MR. SLATER: 4 Are you referring to the Q. Were you in charge of the -chairman of ZHP Baohua Chen, spelled as actually, you know what, we'll get to that. B-O-H-U-A, C-H-E-N? We'll get to that. 7 7 MR. SLATER: Let's go to Q. Yes. 8 Now I understand. I believe page 60, please. 9 there was a misspelling in the English MR. BALL: Adam, we have about version. That's why I didn't hear very 10 five minutes before the next break. 11 clearly. I'm sorry. MR. SLATER: So what do you 12 12 I'm sorry. Can you repeat your want to do? 13 question? MR. BALL: It's up to you. I'd 14 14 You don't remember my question? Q. like to talk to you. We can go off 15 15 I'm sorry. We just had some the record now -discussion about a person's name. I do not 16 MR. SLATER: All right. Let's 17 17 recall the question. I'm sorry. go off the record. 18 18 When this paragraph refers to MR. BALL: I just need to the issue having "received great attention 19 clarify something with you. from Huahai's top management," does that 20 THE VIDEOGRAPHER: The time 21 21 include Mr. Chen, the chairman of ZHP? right now is 11:07 a.m. We're now off 22 22 A. I believe after this incident the record. 23 happened, some company leaders reported this (Whereupon, a recess was 24 incident to Mr. Chen. taken.) Page 432 Page 430 Do you know who did that? THE VIDEOGRAPHER: The time 2 2 I don't know. Actually, there right now is 11:20 a.m. We're back on are quite a few levels between my pay level 3 the record. and Mr. Chen. BY MR. SLATER: 5 Q. You said people informed him. Q. Section 4 -- rephrase. 6 Which people? Table 4-2 is titled I don't know who specifically "Differences in different Valsartan informed him. However, with regard to the manufacturing process." 9 seriousness of this incident, to the best of Do you see that? 10 my understanding, there must be someone who A. I see it. 11 reported this incident to Mr. Chen. MR. SLATER: Let's go to the 12 12 This says a little further next page, please, page 61. 13

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down, "NDMA reference standard was immediately purchased and the identity of the impurity was confirmed as NDMA by GC-MS 16 method." 17

Do you see that? Yes or no.

Do you see that? Yes or no. A. On the screen I do see the

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19 sentence referred to by you. It is one of the sentences in the Deviation Investigation Report with the number DCE-18003. 23 MR. SLATER: Maureen, could you

read that answer back to me, please?

Scroll down, please.

More. I want the bottom box. Yes, perfect. Okay.

There's a box that says "TEA

process (with sodium nitrite quenching)." Do you see that?

19 This was the process used before the zinc chloride process was put into effect, right?

22 I'm sorry. Are you referring 23 to the box that says "TEA process (with sodium nitrite quenching)"? Are you

referring to this box?

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- Yes. That's exactly what I just identified for you a question ago. 4
 - Yes, I see it.
- 5 Q. Did you study English at university?
 - I did study English at college; however, I did poor in English class.
- 9 Did you have to demonstrate 10 English proficiency in order to graduate?
 - I'm sorry to tell you that in order to graduate from a college in China, you have to pass level 4 English test. For that test, I took six or seven times until finally I passed that test. I'm sorry.
- 16 Did you read English language 17 material when you were studying chemistry? 18
 - Α. Are you referring to my time in college?
 - Yes. Q.
- 21 A. No.
 - Q. Let's look at this box now.
- 23 Rephrase. 24

We're looking now at the "TEA

¹ deviation investigation report shown on the

screen, I do see such description.

Q. And based on ZHP's evaluation,

that actually was occurring, correct? That's how NDMA and NDEA were being formed, with the

Page 435

Page 436

TEA process with sodium nitrite quenching,

right?

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A. I'm sorry, your question is a

little bit too long, and I didn't quite get

your question.

What are you referring to by "that actually was occurring"? What's "that"?

14 Q. Number 3 describes the root cause for the NDMA and NDEA impurities in the TEA process with sodium nitrite quenching valsartan, correct?

18 With regard to the NDEA and NDMA impurities that were generated from the

TEA process of valsartan, in 2018, after NDMA

impurity was discovered in valsartan, ZHP

conducted the process deviation

investigation, and this was one of the

findings in the investigation.

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process (with sodium nitrite quenching)."

And it first says, number 1, "Triethylamine

hydrochloride was used as catalyst. Sodium

nitrite was used for quenching after

reaction." Correct?

- On the right column in the box of "TEA process," I do see description in Chinese that's basically consistent with your quote.
- Number 2 says, "No DMF solvent is added in crude step, and no dimethylamine will be degraded."

Do you see that?

- Yes. In the line of "TEA process" on the third column from left, I do see your description in Chinese.
- 17 Number 3 says, "Triethylamine hydrochloride may contain diethylamine and dimethylamine, react with nitrous acid (formed by sodium nitrite and hydrochloric acid) during the next quenching reaction, and NDMA and NDEA may be formed."

Do you see that?

Yes, I see it. In the A.

The risk assessment for TEA process with sodium nitrite quenching failed

to disclose this potential risk for this

contamination as described in number 3,

correct?

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In 2011, the authorities, the A. industry, and ZHP did not have any knowledge regarding the formation of nitrosamines in the valsartan TEA process.

Answer my question, please.

I believe I've already offered my response to your question.

- ZHP failed to identify the risk of these impurities forming as part of its risk assessment for the TEA process with sodium nitrite quenching, correct?
- 17 A. In 2011, the authorities, the industry, or ZHP did not have any knowledge of the formation of nitrosamines in the 20 valsartan triethylamine process. 21

MR. BALL: Adam, I think he's trying to answer your question. Would you like me to rephrase it in a way he might be able to answer it more

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easily?

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MR. SLATER: If it's going to help.

MR. BALL: I think it might. In 2011, did ZHP identify that NDMA or NDEA could be formed as part of the TEA process when it conducted its risk analysis?

And that's a yes -- if he can answer that yes or no, we would all appreciate it.

A. I would like to ask you whether my attorney correctly characterized your question or what you wanted to ask.

BY MR. SLATER:

Q. Yes, please answer it with a yes or no.

THE INTERPRETER: The interpreter is asked to repeat the rendition of the question provided by the witness attorney.

A. In 2011, when ZHP conducted the risk assessment for the TEA process, ZHP did not identify tri- -- did not identify

says, starting with number 2, "Zinc chloride
 is used as catalyst for the crude step of
 valsartan (zinc chloride process)."

Do you see that?

- A. Yes, I see that. What you just described is one of the sentences in the box of the zinc chloride process on the third column.
- Q. Number 3 says, "DMF solvent was added in the crude step. DMF was degraded into dimethylamine, react with nitrous acid (formed by sodium nitrite and hydrochloric acid) during the next quenching reaction, and NDMA may be formed."

Do you see that?

- A. Yes, I see that as part of the content under number 3 in the line of "Zinc chloride process" under the column of -- the first column from the right.
- Q. That is the root cause for the NDMA contamination of the zinc chloride process valsartan, correct?
- A. What you just said, "NDMA contamination," I need to point out that

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Page 440

- nitrosamines as potential impurities. That
 was based on the knowledge of the
 authorities, the industry, and ZHP at that
 time.
 7HP certainly knew by 2011 --
 - Q. ZHP certainly knew by 2011 -- rephrase.

ZHP knew in 2011 that the reaction of dimethylamine and a nitrosating agent such as nitrous acid could form NDMA, correct?

A. In 2011, ZHP did not have any knowledge of the formation of nitrosamine in the valsartan zinc chloride process or the TEA process.

MR. SLATER: Let's go to the next page, the box at the top half of the page, please.

Excellent.

Q. This box now addresses the zinc chloride process.

Do you see that?

- A. Yes. I see that on the screen there's a box for the zinc chloride process.
 - Q. And on the right-hand side this

NDMA, rather, is an impurity formed in the valsartan zinc chloride process. It is not a contaminant. We did not have such knowledge until June 2018.

As for the definitions for the impurities and the contaminants, respectively, you may find accurate descriptions in ICH Q3A.

Q. Number 4 says, "Without introducing triethylamine, diethylamine would not be introduced and no NDEA would be formed."

Do you see that?

- A. I see that under number 4 in the box of "Zinc chloride process" under the first column from right.
- Q. The reason that is referred to there is because the triethylamine with the sodium nitrite quenching process was combining with nitrous acid, NDEA, in that process, correct?
- A. The interpreter's translation
 was very clear; however, I do not understand
 your question. Could you be more specific or

Page 441 Page 443 question. clear? 2 2 Why is sentence number 4 there? Why is that sentence --Q. rephrase. What is that communicating to us? 4 What is the purpose of that A. After reviewing part of this sentence, number 4? Why is that stated? document, I found out that this table is 6 actually describing the differences between In this box under number 4, I different valsartan processes. do see what you just talked about. As for the reason why this In the table analysis, the sentence is here, I need to have a quick formation of nitrosamines was conducted for review of the context in order to provide you different valsartan processes. That was based on the knowledge that ZHP did not with an answer. 12 obtain until June 2018. MR. SLATER: Let's go off the 13 13 More specifically, regarding clock. 14 number 4, in my personal opinion, maybe that Can you scroll up a little bit? is because comments on the specific impurity I need to read the content above this box. Just scroll up until I see the first page. was made in the previous process analysis; 17 MR. BALL: I think he's talking therefore, comments on NDEA is also included 18 here. That's my personal opinion. the other direction. The other 19 19 Q. When you say this was not known direction. 20 in 2011, are you saying it was not known that There we go. 21 MR. SLATER: I'm glad you're amines, A-M-I-N-E-S, had been demonstrated to 22 react with nitrous acid to produce doing this, Cheryll, and not me. 23 Keep going. Keep going until I nitrosamines? 24 see the top of this table. MR. BALL: Objection. Vague. Page 442 Page 444 MR. BALL: There we go. Are you referring to 2011? I 2 just want to confirm time frame. Just keep going. A. 3 BY MR. SLATER: MR. BALL: I think she may have 4 gone too far. He said he wanted to Q. Yes. 5 see the top of the table. Α. In 2011, ZHP did not have any 6 knowledge of the formation of nitrosamine in Keep going. A. 7 the valsartan manufacturing processes, MR. SLATER: The top of the 8 including valsartan zinc chloride process and table is on page 60. 9 MR. BALL: Okay. Thank you. valsartan TEA quenching process. This 10 applies to the industry and the authority at Thank you. Adam. 11 11 A. I need to see. I need to see that time, too. 12 12 what's above this Table 4-2. Keep going. I'll try it again. O. 13 13 Keep going. Keep going. Are you saying that ZHP did not 14 know in 2011 that amines, A-M-I-N-E-S, had That's it. 15 been demonstrated to react with nitrous acid I finished reviewing. 16 MR. BALL: We can go back on to produce N-nitrosamines? 17 17 A. I've already provided an answer the clock, please. 18 MR. SLATER: Wait, let's get to to your question. If you want to try the 19 same question again, could you please the spot first. Hang on. 2.0 clarify, when you say "amines," are you MR. BALL: Okay. 21 MR. SLATER: Almost there. referring to the primary amines, secondary 22 amines, or tertiary amines? Are you Bingo. 23 referring to amines with certain molecular BY MR. SLATER: 24 weights? Okay. Please answer the

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Page 445

Q. Any amines, you tell me, which ZHP knew about that could react with nitrous acid to produce nitrosamines in 2011.

A. I just asked to you clarify certain vague terms in your question in order for me to provide you with a more accurate response.

Based on the current knowledge regarding the formation of nitrosamines, not all forms of amines would form nitrosamine. That's the knowledge we have as of now.

Maybe in 100 years or 500 years, the investment of the science and technology will provide us with new discoveries. Maybe.

MR. SLATER: Cheryll, I don't want to lose this page, but I'd like to bring up an article that I think you have now, and I think we're up to Exhibit 211.

Perfect.

(Whereupon, Exhibit Number ZHP-211 was marked for identification.)

BY MR. SLATER:

Q. Was ZHP -- rephrase.

In ZHP's thorough scientific analysis during its risk assessment for the TEA process with sodium nitrite quenching and for the zinc chloride process in 2011, did the risk assessment team read this article?

A. As to whether ZHP's valsartan risk assessment team read this article in 2011, as you asked, I am unable to provide an accurate response because this is the first time I ever see this document.

Based on the information I

collected as well as the documents I reviewed

as a corporate witness, in 2011 ZHP had no

knowledge of the formation of nitrosamines in

valsartan manufacturing process, which

include zinc chloride process as well as the

TEA process.

Q. Is diethylamine a secondary or tertiary amine?

A. From the chemistry's perspective, the diethylamine is a secondary amine.

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th at then

BY MR. SLATER:

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Q. On the screen is Exhibit 211,

which is a journal article published in the

⁴ "Journal of Physical Chemistry" in 2010,

⁵ titled "Theoretical Investigation of

⁶ N-Nitrosodimethylamine Formation from

⁷ Nitrosation of Triethylamine."

And the authors' names are Zhi
Sun, Yong Dong Liu, and Ru Gang Zhong, and it says they're from the College of Life Science
Bioengineering, Beijing University of

Technology in Beijing, and that this was
 received by this journal June 16, 2009, and

then it was received again in November 2009,

and it was on the web published December 16,2009.

MR. SLATER: And that last thing I said about the publication date is at the bottom, so, Cheryll, in fairness, please scroll to the bottom so Mr. Ball can see it. And I'm sure it's in our chat, too.

MR. BALL: Thank you.
MR. SLATER: No problem.

Q. Is dimethylamine also a secondary amine?

A. That's correct. The
 dimethylamine is also a secondary amine.

Q. This says in the second
paragraph, "Because dialkylnItrosamines are
of great interest in carcinogenesis, much
attention has been focused on their formation
mechanism, especially from secondary amines."
I'm going to stop there.

They say "much attention has been focused on their formation...from secondary amines." Was any attention focused on their formation mechanism from secondary amines by ZHP in 2011?

A. What ZHP was focusing on in 2011 was to produce products with quality specifications that would be in compliance with the ICH requirement, and ZHP's knowledge at that time, including the valsartan products.

As for the research of the formation mechanism of nitrosamines, I believe it was the interest of independent

Page 449 academic people. What I mean is that these 2 Also, based on what you have chemicals are not the raw materials used in just said and what has been translated to me the process. 4 in your question, you mentioned something MR. BALL: Adam, it's probably like a secondary amine. 5 about time for a break. 6 In ZHP's valsartan MR. SLATER: Okay. Let's go 7 manufacturing processes, in particular in the off. 8 valsartan zinc chloride process, DMF was used THE VIDEOGRAPHER: The time 9 as a solvent. From the chemical point of right now is 12:22 p.m. We're now off 10 view, DMF is an amide, spelled as A-M-I-D-E. the record. 11 ¹¹ It's not a secondary amine. (Whereupon, a recess was 12 12 In the valsartan TEA taken.) 13 hydrochloride process, triethylamine is a 13 THE VIDEOGRAPHER: The time tertiary amine, not a secondary amine. 14 right now is 12:35 p.m. We're back on 15 That's all I have to say. the record. 16 MR. SLATER: Maureen, can you 16 BY MR. SLATER: 17 17 just read back the last sentence of Q. If ZHP had figured out that 18 what was just translated as the diethylamine and/or dimethylamine was a 19 answer, please? potential degradation product of either of 20 these manufacturing processes for valsartan, (Whereupon, the reporter read 21 back the above answer.) they would have had to change the processes 22 This article states in the to avoid the nitrosating reactions, correct, second paragraph in part, "Consequently, NDMA back in 2011? 24 is generally believed to be formed from the This is a hypothetical A. Page 452 Page 450 ¹ reactions of dimethylamine (DMA) and question. I don't answer any hypothetical ² nitrosating agents, such as N203, N204, and auestion. ³ ONCl. In addition to secondary amines, However, with that, in 2011, ZHP conducted corresponding work based on our ⁴ however, a wide variety of tertiary amines ⁵ have also been demonstrated to react with knowledge at that time, as well as the nitrous acid to produce N-nitrosamines in requirements of ICH. aqueous solution." Q. Well, ICH required ZHP to do a What I just read to you from careful scientific analysis in performing its this article from 2010, that information was risk assessment for impurities, correct? 10 10 easily available to ZHP in 2011, correct? A. In 2011, based on the 11 MR. BALL: Objection. Asks for requirements of ICH at that time as well as 12 our knowledge and understanding of valsartan opinion. A. I don't agree with -- I don't zinc chloride process, ZHP conducted agree with your statement that in 2011 ZHP corresponding work using scientific methods. ¹⁵ can easily find that information. That 15 Actually, ZHP did an involves resources as well as methods for insufficient level of research into the 17 searching for such information. It's nothing process and failed to figure out the risks 18 simple. for impurities that it was creating with its 19 19 valsartan manufacturing processes in 2011, As for the sentence you just quoted, from the chemistry point of view, for 20 correct? 21 dimethylamine, or DMA, as well as chemicals MR. BALL: Objection. Calls such as N203, N204, ONCl, ZHP did not use any 22 for opinion and expert testimony. 23 of those chemicals directly in our A. In 2011, ZHP conducted manufacturing processes. corresponding work using scientific methods

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Page 453
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¹ based on our knowledge of valsartan zinc chloride process at that time.

Meanwhile, the related work was submitted to EDOM and FDA. During this period of time, there were experts who reviewed and approved such work.

Which experts reviewed and approved this work? Give me their names, please.

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- I'm sorry, I don't know. That is because after we submitted our work to the authorities, we don't know who the authorities hired to conduct the review and approval.
- You don't know if anybody at Q. the authorities even read what was submitted with regard to the sodium nitrite quenching and zinc chloride processes. You don't even know if anybody there even read what you submitted, correct?
- To the best of my knowledge, after we submitted documents to EDQM, the authority did provide a response.

I also know that related

already provided responses.

In my personal opinion, I personally believe EDQM or FDA as authorities did conduct review and assessment to the valsartan zinc chloride process change.

As for the names of the reviewers, I believe that is beyond the scope of 30(b)(6) topics, and that's beyond the scope of information I need to collect.

Q. Let's go back to my original question before we went off on this tangent.

In evaluating the potential impurities that would potentially form during these processes, the sodium nitrite quenching and the zinc chloride process, there was an insufficient extent and depth of process research, which resulted in the risk 18 assessment failing to identify the risks of nitrosamines, correct? 20

MR. BALL: Objection. Calls for opinion and expert testimony.

A. I don't agree with your statement. When we talked about the extent and the depth of the process research, we

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documents pertaining to valsartan zinc chloride process were also submitted as attachments.

It's a simple question. You don't know if anybody actually read anything about the process change details at any authority that your company submitted anything to about the changes, correct?

MR. BALL: Objection. Outside the scope of the 30(b)(6) topics. These are regulatory.

MR. SLATER: I'm following up on his answer.

MR. BALL: That's fine, Adam, I'm not telling him not to answer.

MR. SLATER: I understand. I'm just stating for the record why I think it's appropriate to follow up on his response.

MR. BALL: Okay.

In 2011, ZHP already submitted documents regarding valsartan zinc chloride process change to the authorities for review and assessment, and those authorities have

need to do that with the background in our mind. Without the background, it is inappropriate to talk about the extent and the steps of the process research.

Q. During the risk assessment process, there was insufficient study and understanding of potential genotoxic impurities on the part of the -- on the part of ZHP in evaluating both the sodium nitrite quenching process and the zinc chloride process, which resulted in failing to identify the risk of nitrosamine impurities, correct?

MR. BALL: Objection. Compound, calls for opinion, and calls for expert testimony.

A. I would like you to be more specific in your question, or ask the questions one by one; therefore, I can provide you with a more accurate response. It's getting late. I'm a little bit tired,

22 I'm sorry. 23 BY MR. SLATER:

But it's the morning for you.

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We're the ones in the middle of the night. Okay. It's okay. I'm kidding.

It's okay.

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There was insufficient study and understanding of potential genotoxic impurities in the risk assessment process for both the sodium nitrite quenching and zinc chloride processes in 2011, correct?

MR. BALL: Objection.

Compound, calls for opinion, calls for expert testimony.

The question you just asked is still a little bit too long, and you can still ask those questions one by one. However, for the pending question, I will try to answer part of your question.

In 2011, ZHP conducted corresponding work using scientific methods based on the ICH requirements then, as well as our knowledge and understanding at that time. Afterwards the results from that work were submitted to the authorities.

After the work results were submitted to the authorities, authorities

didn't identify the risk of forming nitrosamines in the sodium nitrite quenching and zinc process -- zinc chloride process, correct? 5

MR. BALL: Objection. Calls for opinion, calls for expert testimony. May be compound; I'm not entirely sure at this point listening to it.

A. I don't agree with your statement. For each and every of your question, I already provided corresponding response.

With regard to the external authorities in your question, for example the EDQM review and assessment, that is part of the ZHP's process change. For the process change application, that is the action that the regulatory affairs department had to take 20 and followed up. 21

Had EDQM not provided the corresponding approvals certificate, ZHP's valsartan would have never been sold in the European market, and ZHP's valsartan zinc

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such as EDQM provided their recognition and approval by the corresponding experts of theirs and provided the approval. The corresponding approval documents were included in the valsartan zinc chloride process change documents in 2011.

I'll try it one last time.

I'm not asking about what anyone else did, so I would appreciate it if you would not tell me about regulatory authorities or people outside ZHP, because I'm not asking about any of them.

I'm only asking about ZHP and what it did in its risk assessment.

MR. SLATER: Please translate that for Mr. Dong so he'll understand that and understand what I'm asking, and then I'll ask the question.

Here's my question now, having given you that background.

In ZHP's risk assessment, ZHP insufficiently studied and insufficiently understood the potential genotoxic impurities as part of its risk assessment, and thus

chloride process change would have never been closed.

3 I'm just using EDQM as an example.

MR. SLATER: Cheryll, let's go to Exhibit 212, please, the next exhibit.

(Whereupon, Exhibit Number ZHP-212 was marked for identification.)

A. I'm sorry, I haven't finished yet. Should I --

MR. SLATER: Take it down, then. Let's wait.

BY MR. SLATER:

You hadn't finished. Okay.

17 Thank you very much for letting me finish my testimony.

In 2011, ZHP conducted corresponding work using scientific methods based on the requirements of ICH at that time, as well as the corresponding knowledge that ZHP had at that time.

The results of our work were

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Page 461
                                                                                                Page 463
<sup>1</sup> submitted to the regulatory authorities, such
                                                       of incident June 6, 2018.
<sup>2</sup> as EDQM, and EDQM as a regulatory authority
                                                                Do you see that in front of
   did provide ZHP with the approval
                                                        you?
                                                     4
   certificate.
                                                                I see it. On the screen I see
           That's all I have to say.
                                                       the corresponding Chinese description,
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           MR. SLATER: Okay. Let's go to
                                                        "Investigation regarding an unknown impurity
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       the next exhibit now, please, Cheryll,
                                                        (Genotoxic Impurity)."
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       Exhibit 212. And this is ZHP-662283.
                                                                MR. SLATER: Please scroll
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                                                     9
           Scroll back to the top, please.
                                                           down, Cheryll, to the bottom of this
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                                                    10
           Thanks.
                                                           front page.
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                                                           Q. Okay. This says at the
            And you can see this is an
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   investigation report, and it's regarding the
                                                        bottom -- there's someone named Yuelin Hu,
   June 6, 2018 date of incident.
                                                        assistant director in the quality assurance
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                                                        department. That's the person who is listed
           And there's a list of people
   that are expected to review and sign, and
                                                        as the custodian of this document.
   you're one of the people listed, correct?
                                                    16
                                                                Who is that person?
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                                                    17
       A. I have two questions for the
                                                                I have to make a clarification
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                                                    18
   pending question.
                                                       here.
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           First of all, you mentioned
                                                                What I see here, the name
   that the date for this investigation report
                                                        listed in this table at the bottom is Yuelin
   is sometime in 2016. I cannot see the date
                                                        Hu. I just want to make sure we're talking
                                                        about the same person.
   2016 on this report.
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                                                    23
           Secondly, this report, to the
                                                           Q.
                                                                 Yes.
                                                    24
  best of my recollection, is part of the
                                                           A.
                                                                 Yuelin Hu is a colleague of
                                           Page 462
                                                                                                Page 464
   investigation, and it's only a draft. I
                                                        mine in the QA department. His title is
   wonder whether a draft can be used as an
                                                        assistant director. He is responsible for
   exhibit.
                                                        reviewing and approving this report. He's
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           MR. BALL: Adam, would you like
                                                        not responsible for the custody of this
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       me to answer that second question?
                                                        report.
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                                                     6
           MR. SLATER: Sure.
                                                                 MR. SLATER: Let's go, Cheryll,
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                                                     7
           MR. BALL: Yes.
                                                            in this document to the page that's
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                                                     8
           Okay. Thank you.
                                                            Bates-numbered 308, please.
       Α.
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   BY MR. SLATER:
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Q. If Dr. Shao said 2016 -- either I said it by accident or -- I don't know, but I'll -- the date of incident is June 6, 2018, to answer your question. That's what I was referring to.

Do you see that?

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- Thank you. The information is Α. very clear.
- Q. I'll reask the question, and we'll hopefully get off to a good start here.

20 We're now looking at Exhibit 212, which is a draft of an

Investigation Report titled "Investigation

regarding an unknown impurity," and then in parenthesis "(Genotoxic Impurity)" for date

Go up a little more. 10 Okay. Perfect. That's good. 11 Q. Looking at paragraph 5.2 with

- the title "Control strategy" -- do you see that heading right there?
- Can you zoom in a little bit? The font is too small. I cannot see it very clearly.
- Q. The heading 5.2 says "Control strategy," correct?
 - That is correct. A.
- 20 O. And looking now at what it says in this paragraph, it says, "Due to insufficient extent and depth of process research at the early stage, as well as insufficient study and understanding of

| | | rayeid. 303 | $\overline{}$ | |
|-----|----------------|--|---------------|---|
| | | Page 465 | | Page 467 |
| | 1 | potential genotoxic impurities, only side | 1 | INSTRUCTIONS TO WITNESS |
| | 2 | reaction product and degradation products | 2 | |
| | 3 | were studied, and was unaware of the further | 3 | Please read your deposition over |
| | 4 | reaction between degradation products and raw | 4 | carefully and make any necessary corrections. |
| | 5 | material." | 5 | You should state the reason in the |
| | 6 | Do you see what I just read? | 6 | appropriate space on the errata sheet for any |
| | 7 | A. I see that. It's one of the | 7 | corrections that are made. |
| | 8 | sentences in the paragraph under Section 5.2 | 8 | After doing so, please sign the |
| | 9 | on the screen. | 9 | errata sheet and date it. It will be |
| : | 10 | THE INTERPRETER: The | 10 | attached to your deposition. |
| : | 11 | interpreter would like to call it a | 11 | It is imperative that you return |
| = | 12 | night. | 12 | the original errata sheet to the deposing |
| - | 13 | MR. BALL: Adam, we have | 13 | attorney within thirty (30) days of receipt |
| : | 14 | yeah, we have like five minutes left. | 14 | of the deposition transcript by you. If you |
| | 15 | MR. SLATER: If we can go | 15 | fail to do so, the deposition transcript may |
| : | 16 | off the record. | 16 | be deemed to be accurate and may be used in |
| - | 17 | THE VIDEOGRAPHER: The time | 17 | court. |
| | 18 | right now is 1:18 p.m. We're now off | 18 | court. |
| | 19 | the record. | 19 | |
| | 20 | (Whereupon, the deposition was | 20 | |
| | 21 | adjourned.) | 21 | |
| | 22 | adjourned.) | 22 | |
| | 23 | | 23 | |
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| L | | | | |
| | 1 | Page 466 | | Page 468 |
| | 2 | CERTIFICATE | 1 | |
| | 3 | I, MAUREEN O'CONNOR POLLARD, Registered Diplomate Reporter, Realtime Systems | | ERRATA |
| | 4 | Reporter, Realtime Systems | 2 | |
| | | Administrator, and Certified Shorthand Reporter, do hereby certify that prior | 3 | PAGE LINE CHANGE |
| | 5 | Reporter, do hereby certify that prior to the commencement of the examination, PENG DONG, was remotely | 4 | |
| | 6 | dilly identified and sworn by me to | 5 | REASON: |
| | 7 | testify to the truth, the whole truth, and nothing but the truth. I DO FURTHER CERTIFY that | 6 | PELGON |
| | 8 | I DO FURTHER CERTIFY that the foregoing is a verbatim transcript | 7 | REASON: |
| | 9 | of the testimony as taken | 8 | DE A COM. |
| - | 10 | stenographically by and before me at the time, place, and on the date hereinbefore set forth, to the best of | 10 | REASON: |
| - 1 | 11 | hereinbefore set forth, to the best of my ability. | 11 | DEASON: |
| : | 12 | I DO FURTHER CERTIFY that | 12 | REASON: |
| | 13 | I am neither a relative nor employee nor attorney nor counsel of any of the | 13 | REASON: |
| | 14 | parties to this action, and that I am neither a relative nor employee of | 14 | |
| | 15 | such attorney or counsel, and that I | 15 | REASON: |
| | | am not financially interested in the action. | 16 | REASON. |
| - 1 | 16 17 | | 17 | REASON: |
| | 18 | | 18 | REASON. |
| | | MAUREEN O'CONNOR POLLARD | 19 | REASON: |
| - | 19 | NCRA Registered Diplomate Reporter Realtime Systems Administrator Certified Shorthand Reporter | 20 | KEADOW. |
| 12 | 20 | Certified Shorthand Reporter | 21 | REASON: |
| 2 | 21 | Notary Public | 22 | |
| | 22 | Dated: April 2, 2021 | 23 | |
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| | 1 2 | ACKNOWLEDGMENT OF DEPONENT |
| | 3 | |
| | 4 | I,, do Hereby certify that I have read the foregoing |
| | 5 | pages, and that the same is a correct |
| | | transcription of the answers given by me to |
| | 6 | the questions therein propounded, except for |
| | 7 | pages, and that the same is a correct transcription of the answers given by me to the questions therein propounded, except for the corrections or changes in form or substance, if any, noted in the attached |
| | 8 | Errata Sheet. |
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| | 1.0 | DD110 D 0110 |
| | 10 11 | PENG DONG DATE |
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| | 17 | Subscribed and sworn To before me this |
| | | day of, 20 |
| | 18 | |
| | 19 | My commission expires: |
| | 20 | N. D.I.E. |
| | 21 | Notary Public |
| | 22 | |
| | 23 24 | |
| - | | Page 470 |
| | 1 | LAWYER'S NOTES |
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